IAP7 Rec'd PCT/PTO 02 JUN 2006

PTO-1390 (Rev. 07-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER

02 December 2003 (02.12.2003)

FRZ-112US

U.S. APPLICATION NO. (If known, see

To Be Assigned PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. PCT/AT2004/000424

INTERNATIONAL FILING DATE 02 December 2004 (02.12.2004)

THROUGH-FLOW VOLUME LIMITERS						
APPLICAN	IT(s) FOR DO/EO/US Siegfried KOGELBAUER					
Applicant	herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. 🗸 1	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2. 🔲 Т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
ј. 🗸 т	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4. 🗸 1	The US has been elected (Article 31).					
5. 🗸	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
	a. Is attached hereto (required only if not communicated by the International Bureau).					
	b. has been communicated by the International Bureau.					
<u></u>	c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
	a. is attached hereto.					
_	b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))					
	a. are attached hereto (required only if not communicated by the International Bureau).					
	b. have been communicated by the International Bureau.					
	c. have not been made; however, the time limit for making such amendments has NOT expired.					
	d. have not been made and will not be made.					
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10. 🗸	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items	11 to 20 below concern document(s) or information included:					
11. 🗸	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
12. 🗌	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13. 🗸	A preliminary amendment.					
14. 🗔	An Application Data Sheet under 37 CFR 1.76.					
15.	A substitute specification.					
16. 🗌	A power of attorney and/or change of address letter.					
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.					
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20. 🗸	Other items or information: Cert. of Express Mail #EV763897067US; Unexecuted Dec/POA; PTO Form 2038; Return PostCard					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if/known) see 37 CER 1/5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/AT2004/000424 FRZ-112US The following fees have been submitted CALCULATIONS PTO USE ONLY 21. 🗸 \$ 300 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations.....\$200 \$ 200 Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100
International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB \$ 400 All other situations......\$500 \$ 900 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) /50 = - 100 = Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED NUMBER EXTRA RATE 20 Total claims - 20 = 0 \$ 50 s 0 Independent claims - 3 = \$200 2 0 х \$ 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = \$ 0 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 450 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$ 450 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$ 450 Amount to be refunded: Amount to be s 450 A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ ___ ____ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. <u>18-0350</u>. A duplicate copy of this sheet is enclosed. a. 🗹 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Customer Number 23122 OR seph E. Maenner Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482 NAME

Date:

June 2, 2006

RatnerPrestia, P.O. Box 1596, Wilmington, DE 19899

1,964

REGISTRATION NUMBER

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CERTIFICATE OF MAI	Docket No.					
Applicant(s): Siegfried KC		FRZ-112US				
1 0 / Sefjall NG, 0 1	Filing Date	Examiner		Group Art Unit		
To be Assigned	Herewith	Not Yet Known		Not Yet Known		
Invention: THROUGH-FLOW VOLUME LIMITERS						
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I hereby certify that the following correspondence:						
National Phase patent application						
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